

Group Policy

Instruction note

Integrity checks on business relations

Scope	Group, while respecting the independent management of the regulated subsidiaries
Upstream reference(s)	Group Ethics and Compliance Policy approved by the Executive Committee (COMEX) on 17 May 2016
Downstream reference(s)	Decisions cancelled by the Group Ethics and Compliance Policy
Owner	Corporate Secretary (SG)
Written by	DECG - DSIE
Version	Approved by the Executive Committee on September 5 th 2016
Application date	1 st January 2017

I. General objectives

The Group Ethics and Compliance Policy (PECG) requires the implementation, by the EDF Group executive directors in the entities for which they are responsible, of integrity checks on business relations with a Partner before any commitment, as well as monitoring of the integrity of the relationship throughout its duration.

A Partner is understood to mean any natural person or legal entity with a contractual relationship with EDF or an entity controlled by EDF, such as an organisation benefiting from sponsorship, a project partner, an intermediary or a supplier.

The purpose of the integrity checks is to protect the EDF Group against sanction or reputation risks pertaining to illegal practices within the context of business relations.

The integrity checks therefore include verification of the partner's respectability by evaluating its inherent qualities (criminal record, sanctions, reputation, etc.) and verification of the integrity of business relations by evaluating the associated legal, economic and material conditions (partner organisation, contract, legal and financial arrangements, remuneration conditions, etc.).

This instruction note describes the framework of the requirements applicable to the business relations, the conditions for checking integrity and the monitoring conditions to be established. It also determines the conditions for responding to the integrity check requirements of third parties.

The scope of the instruction note applies to the consolidated companies within EDF group, including those specified in Annex 4 of EDF Ethics & Compliance Policy. For non-consolidated companies, it remains the duty of EDF representative board members to ensure that such requirements are met and alert DECG if necessary.

A handwritten signature in black ink, appearing to read "P. Todorov", with a long horizontal stroke extending to the right.

Pierre Todorov

CONTENTS

I. GENERAL OBJECTIVES	2
II. FRAMEWORK FOR BUSINESS RELATIONS	4
III. INTEGRITY CHECKS CONDITIONS	5
III.1) Integrity checks on business relations with sponsorship partners, project partners and intermediaries	6
III.2) Integrity checks on business relations with a supplier	11
III.3) Monitoring of business relations	15
III.4) Integrity checks procedures initiated by third parties	16
IV. ORGANISATION, COORDINATION AND MONITORING OF THE INSTRUCTION	16
IV.1) Responsibility for checks within the entities	16
IV.2) Group steering committees	17
IV.3) Coordination and monitoring of the instruction	17
VI.4) Partner files	18
V. DETAILED LIST OF REFERENCES	18
V.1) Documentary history	18
V.2) Upstream references	18
VI. APPENDICES	18